

# Analysis on Bidirectional Associative Memories with Multiplicative Weight Noise

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**Abstract.** In neural networks, network faults can be exhibited in different forms, such as node fault and weight fault. One kind of weight faults is due to the hardware or software precision. This kind of weight faults can be modelled as multiplicative weight noise. This paper analyzes the capacity of a bidirectional associative memory (BAM) affected by multiplicative weight noise. Assuming that weights are corrupted by multiplicative noise, we study how many number of pattern pairs can be stored as fixed points. Since capacity is not meaningful without considering the error correction capability, we also present the capacity of a BAM with multiplicative noise when there are some errors in the input pattern. Simulation results have been carried out to confirm our derivations.

## 1 Introduction

Associative memories have a wide range of applications including content addressable memory and pattern recognition [1,2]. An important feature of associative memories is the ability to recall the stored patterns based on partial or noisy inputs. One form of associative memories is the bivalent additive bidirectional associative memory (BAM) [3] model. There are two layers,  $F_X$  and  $F_Y$ , of neurons in a BAM. Layer  $F_X$  has  $n$  neurons and layer  $F_Y$  has  $p$  neurons. A BAM is used to store pairs of bipolar patterns,  $(\mathbf{X}_h, \mathbf{Y}_h)$ 's, where  $h = 1, 2, \dots, m$ ;  $\mathbf{X}_h \in \{+1, -1\}^n$ ;  $\mathbf{Y}_h \in \{+1, -1\}^p$ ; and  $m$  is the number of patterns stored. We shall refer to these patterns pairs as *library pairs*. The recall process is an iterative one starting with a stimulus pair  $(\mathbf{X}^{(0)}, \mathbf{Y}^{(0)})$  in  $F_X$ . After a number of iterations, the patterns in  $F_X$  and  $F_Y$  should converge to a fixed point which is desired to be one of the library pairs.

BAM has three important features [3]. Firstly, BAM can perform both heteroassociative and autoassociative data recalls: the final state in layer  $F_X$  represents the autoassociative recall, while the final state in layer  $F_Y$  represents the heteroassociative recall. Secondly, the initial input can be presented in one of the two layers. Lastly, BAM is stable during recall. In other words, for any connection matrix, a BAM always converges to a stable state. Several methods have been proposed to improve its capacity [4,5,6,7,8].

Although the capacity of BAM has been intensively studied with a perfect laboratory environment consideration [9,10,11,12,13], practical realization of BAM may encounter the problem of inaccuracy in the stored weights. All the previous studies assume that the stored weights matrix is noiseless. However, this is not always the case when training a BAM for some real applications. One kind of weight faults is due to the hardware or software precision [14,15]. For example, in the digital implementation, when we use a low precision floating point format, such as 16-bit half-float [16], to represent trained weights, truncation errors will be introduced. The magnitude of truncation errors is proportional to that of the trained weights. Hence, truncation errors can be modelled as multiplicative weight noise [17,18].

This paper focuses on the quantitative impact of multiplicative weight noise to the BAM capacity. We will study how many number of pattern pairs can be stored as fixed points when multiplicative weight noise presents. Since capacity is not meaningful without considering the error correction capability, we also present the capacity of BAM with multiplicative noise when there are some errors in the input pattern. The rest of this paper is organized as follows. Section 2 introduces the BAM model and multiplicative weight noise. In section 3, the capacity analysis on BAM with multiplicative weight noise is used. Simulation examples are given in Section 4. Then, we conclude our work in Section 5.

## 2 BAM with Multiplicative Weight Noise

### 2.1 BAM

The BAM, as proposed by Kosko [3], is a two-layer nonlinear feedback heteroassociative memory in which  $m$  library pairs  $(\mathbf{X}_1, \mathbf{Y}_1), \dots, (\mathbf{X}_m, \mathbf{Y}_m)$  are stored, where  $\mathbf{X}_h \in \{-1, 1\}^n$  and  $\mathbf{Y}_h \in \{-1, 1\}^p$ . There are two layers of neurons in BAM; layer  $F_X$  has  $n$  neurons and layer  $F_Y$  has  $p$  neurons. The connection matrix between the two layers is denoted as  $W$ .

The encoding equation, as proposed by Kosko, is given by

$$W = \sum_{h=1}^m \mathbf{Y}_h \mathbf{X}_h^T \quad (1)$$

which can be rewritten as

$$w_{ji} = \sum_{h=1}^m x_{ih} y_{jh}, \quad (2)$$

where  $X_h = (x_{1h}, x_{2h}, \dots, x_{nh})^T$  and  $Y_h = (y_{1h}, y_{2h}, \dots, y_{ph})^T$ .

The recall process employs interlayer feedback. An initial pattern  $\mathbf{X}^{(0)}$  presented to  $F_X$  is passed through  $W$  and is thresholded, and a new state  $Y^{(1)}$  in  $F_Y$  is obtained which is then passed back through  $W^T$  and is thresholded again, leading to a new state  $X^{(1)}$  in  $F_X$ . The process repeats until the state of BAM converges. Mathematically, the recall process is:

$$\mathbf{Y}^{(t+1)} = \text{sgn} \left( W \mathbf{X}^{(t)} \right), \text{ and } \mathbf{X}^{(t+1)} = \text{sgn} \left( W^T \mathbf{Y}^{(t+1)} \right), \quad (3)$$

where  $\text{sgn}(\cdot)$  is the sign operator:

$$\text{sgn}(x) = \begin{cases} +1 & x > 0 \\ -1 & x < 0 \\ \text{state unchanged} & x = 0 \end{cases} .$$

Using an element-by-element notation, the recall process can be written as:

$$y_i^{(t+1)} = \text{sgn} \left( \sum_{i=1}^n w_{ji} x_i^{(t)} \right), \text{ and } x_j^{(t+1)} = \text{sgn} \left( \sum_{j=1}^p w_{ji} y_i^{(t+1)} \right), \quad (4)$$

where  $x_i^{(t)}$  is the state of the  $i$ th  $F_X$  neuron and  $y_j^{(t)}$  is the state of the  $j$ th  $F_Y$  neuron. The above bidirectional process produces a sequence of pattern pairs  $(X^{(t)}, Y^{(t)})$ :  $(X^{(1)}, Y^{(1)})$ ,  $(X^{(2)}, Y^{(2)})$ ,  $\dots$ . This sequence converges to one of the fixed points  $(X^f, Y^f)$  and this fixed point ideally should be one of the library pairs or nearly so. A fixed point  $(X^f, Y^f)$  has the following properties:

$$\mathbf{Y}^f = \text{sgn}(W \mathbf{X}^f) \text{ and } \mathbf{X}^f = \text{sgn}(W^T \mathbf{Y}^f). \quad (5)$$

Hence, a library pair can be retrieved only if it is a fixed point. One of the advantages of Kosko’s encoding method is the ability of incremental learning, i.e., the ability of encoding new library pairs to the model based on the current connection matrix only. With the Kosko’s encoding method, BAM can only correctly store up to  $\frac{\min(n,p)}{2 \log \min(n,p)}$  library pairs. When the number of library pairs exceeds that value, a library pair may not be stored as a fixed point.

## 2.2 Multiplicative Weight Noise

In some electrical circuits, inaccuracy occurs in the implementation of trained weights. Errors in the weights may be caused by quantization error due to limited bits used to store the trained weights, or percentage error due to voltage perturbation. In the digital implementation, such as DSP and FPGA, the trained weights are usually stored as floating-point format. Floating-point representation of real numbers is more desirable than integer representation because floating-point provides large dynamic range. When we use a low precision floating point format, such as 16-bit half-float[16], to represent trained weights, truncation errors will be introduced. The magnitude of the truncation errors is proportional to that of the trained weights[19]. Hence, the truncation errors can be modelled as multiplicative weight noise.

An implementation of a weight  $w_{ji}$  is denoted by  $\tilde{w}_{ji}$ . In multiplicative weight noise, each implemented weight deviates from its nominal value by a random percent, i.e.,

$$\tilde{w}_{ji} = w_{ji} + b_{ji} w_{ji} \quad (6)$$

where  $b_{ji}$ ’s are identical independent mean zero random variables with variance  $\sigma_b^2$ . The density function of  $b_{ji}$ ’s is symmetrical.

### 3 Analysis on BAM with Multiplicative Weight Noise

#### 3.1 Capacity

We will investigate the BAM’s memory capacity when the multiplicative weight noise presented. The following assumptions and notations are used.

- The dimensions,  $n$  and  $p$ , are large. Also,  $p = rn$ , where  $r$  is a positive constant.
- Each component of library pairs  $(\mathbf{X}_h, \mathbf{Y}_h)$ ’s is a  $\pm 1$  equiprobable independent random variable.
- $EU_{j,h}$  is the event that  $\sum_i^n \tilde{w}_{ji}x_{ih}$  is equal to  $y_{jh}$  (the  $j$ -th component of the library pattern  $\mathbf{Y}_h$ ). Also,  $\overline{EU}_{j,h}$  is the complement event of  $EU_{j,h}$ .
- $EV_{i,h}$  is the event that  $\sum_j^p \tilde{w}_{ji}y_{jh}$  is equal to  $x_{ih}$  (the  $i$ -th component of the library pattern  $\mathbf{X}_h$ ). Also,  $\overline{EV}_{i,h}$  is the complement event of  $EV_{i,h}$ .

With the above assumptions and the multiplicative weight noise, we will introduce Lemma 1 and Lemma 2. They will assist us to derive the capacity of BAM with multiplicative weight noise.

*Lemma 1: The probability  $\text{Prob}(\overline{EU}_{j,h})$  is approximately equal to*

$$Q\left(\sqrt{\frac{n}{(1 + \sigma_b^2)m}}\right)$$

for  $j = 1, \dots, n$  and  $h = 1, \dots, m$ , where  $Q(z) = \frac{1}{\sqrt{2\pi}} \int_z^\infty \exp(-\frac{z^2}{2}) dz$ .

Proof: Event  $\overline{EU}_{j,h}$  means that  $\text{sgn}(\sum_{i=1}^n \tilde{w}_{ji}x_{ih}) \neq y_{jh}$ . From (2) and (6), we have

$$\begin{aligned} \sum_{i=1}^n \tilde{w}_{ji}x_{ih} &= \sum_{i=1}^n w_{ji}(1 + b_j i)x_{ih} = \sum_{i=1}^n (\sum_{h'}^m y_{jh'}x_{ih'}) (1 + b_j i)x_{ih} \\ &= ny_{jh} + \sum_{i=1}^n (\sum_{h' \neq h}^m y_{jh'}x_{ih'})x_{ih} + \sum_{i=1}^n (\sum_{h'=1}^m y_{jh'}x_{ih'})b_{ji}x_{ih} \end{aligned} \quad (7)$$

Without loss of generality, we consider the library pair  $(\mathbf{X}_h, \mathbf{Y}_h)$  having all components positive:  $\mathbf{X}_h = (1, \dots, 1)^T$  and  $\mathbf{Y}_h = (1, \dots, 1)^T$ . This consideration is usually used [13] and does not affect our results. We can easily verify this by use of conditional probability. Now, (7) becomes

$$\sum_{i=1}^n \tilde{w}_{ji}x_{ih} = n + \sum_{i=1}^n (\sum_{h' \neq h}^m y_{jh'}x_{ih'}) + \sum_{i=1}^n (\sum_{h'=1}^m y_{jh'}x_{ih'})b_{ji} \quad (8)$$

$$= n + \sum_{i=1}^n \alpha_{ji} + \sum_{i=1}^n \beta_{ji}, \quad (9)$$

where  $\alpha_{ji}$ 's are independent identical zero mean random variables (i.e.,  $E[\alpha_{ji}] = 0$ , and  $E[\alpha_{ji}\alpha_{j'i'}] = 0$  for  $i \neq i'$ ) and the variance of  $\alpha_{ji}$ 's, denoted as  $\text{Var}[\alpha_{ji}]$ , is equal to  $(m-1)$ . Since  $b_{ji}$ 's are independent identical zero mean random variables and they are independent of  $(\sum_{h'=1}^m y_{jh'}x_{ih'})$ 's. Hence,  $\beta_{ji}$ 's are independent identical zero mean random variables, where  $E[\beta_{ji}] = 0$ ,  $E[\beta_{ji}\beta_{j'i'}] = 0$  for  $i \neq i'$ ,  $\text{Var}[\beta_{ji}] = \sigma_b^2 m$ .

For large  $n$ , the summations,  $\sum_{i=1}^n \alpha_{ji}$  and  $\sum_{i=1}^n \beta_{ji}$ , tend to normal with variances equal to  $(m-1)n$  and  $\sigma_b^2 mn$ , respectively. Besides, the sum of the two normal random variables is still a normal random variable. Hence, (9) becomes

$$\sum_{i=1}^n \tilde{w}_{ji}x_{ih} = n + \sum_{i=1}^n \alpha_{ji} + \sum_{i=1}^n \beta_{ji} = n + \alpha + \beta. \tag{10}$$

Note that  $\beta_{ji}$ 's are independent of  $(\sum_{h'=h}^m y_{jh'}x_{ih'})$ 's. We have  $E[\alpha\beta] = 0$ ,  $E[\alpha + \beta] = 0$  and  $\text{Var}[\alpha + \beta] = (m-1)n + \sigma_b^2 mn$ . Event  $\overline{EU}_{j,h}$  means that  $\alpha + \beta < -n$ . Hence,  $\text{Prob}(\overline{EU}_{j,h}) \approx Q\left(\sqrt{\frac{n}{(m-1) + \sigma_b^2 m}}\right)$ . For large  $m$ ,  $Q\left(\sqrt{\frac{n}{(m-1) + \sigma_b^2 m}}\right) \approx Q\left(\sqrt{\frac{n}{(1 + \sigma_b^2)m}}\right)$ . (Proof completed).

Using the similar way, we can have Lemma 2.

*Lemma 2: The probability  $\text{Prob}(\overline{EV}_{i,h})$  is approximately equal to*

$$Q\left(\sqrt{\frac{p}{(1 + \sigma_b^2)m}}\right)$$

for  $i = 1, \dots, p$  and  $h = 1, \dots, m$ .

Now, we start to estimate the capacity. Let the probability that a library pair  $(\mathbf{X}_h, \mathbf{Y}_h)$  is fixed point be  $P_*$ :

$$\begin{aligned} P_* &= \text{Prob}(EU_{1h} \cap \dots \cap EU_{nh} \cap EV_{1h} \cap \dots \cap EV_{ph}) \\ &= 1 - \text{Prob}(\overline{EU}_{1h} \cup \dots \cup \overline{EU}_{nh} \cup \overline{EV}_{1h} \cup \dots \cup \overline{EV}_{ph}) \\ &\geq 1 - p\text{Prob}(\overline{EU}_{jh}) - n\text{Prob}(\overline{EV}_{ih}). \end{aligned} \tag{11}$$

From Lemmas 1 and 2, (11) becomes

$$P_* \geq 1 - pQ\left(\sqrt{\frac{n}{(1 + \sigma_b^2)m}}\right) - nQ\left(\sqrt{\frac{p}{(1 + \sigma_b^2)m}}\right). \tag{12}$$

Letting  $P_B = pQ\left(\sqrt{\frac{n}{(1 + \sigma_b^2)m}}\right)$  and  $P_A = nQ\left(\sqrt{\frac{p}{(1 + \sigma_b^2)m}}\right)$ , we get

$$P_* \geq 1 - P_B - P_A. \tag{13}$$

If  $z$  is large,

$$Q(z) \approx \exp \left\{ -\frac{z^2}{2} - \log z - \frac{1}{2} \log 2\pi \right\}, \tag{14}$$

which is quite accurate for  $z > 3$ . Using the approximation (14),

$$\begin{aligned} P_A &= \exp \left\{ \log p - \frac{n}{2(1+\sigma_b^2)m} - \frac{1}{2} \log \frac{n}{(1+\sigma_b^2)m} - \frac{1}{2} \log 2\pi \right\} \\ &= \exp \left\{ \log r + \log n - \frac{n}{2(1+\sigma_b^2)m} - \frac{1}{2} \log \frac{n}{(1+\sigma_b^2)m} - \frac{1}{2} \log 2\pi \right\} \\ &= \exp \left\{ \log n - \frac{n}{2(1+\sigma_b^2)m} - \frac{1}{2} \log \frac{n}{(1+\sigma_b^2)m} + \text{constant} \right\} \end{aligned} \tag{15}$$

Clearly, if  $m < \frac{n}{2(1+\sigma_b^2)\log n}$ ,  $P_A$  tends zero as  $n$  tends infinity. Similarly, we can get that as  $p \rightarrow \infty$  and  $m < \frac{p}{2(1+\sigma_b^2)\log p}$ ,  $P_B \rightarrow 0$ . To sum up, for large  $n$  and  $p$ , If

$$m < \frac{\min(n, p)}{2(1+\sigma_b^2)\log \min(n, p)} \tag{16}$$

then  $P_* \rightarrow 1$ . That means if the number  $m$  of library pairs is less than  $\frac{\min(n, p)}{2(1+\sigma_b^2)\log \min(n, p)}$ , a library pair is with a very high chance to be a fixed point. So the capacity of BAM with multiplicative weight noise is equal to  $\frac{\min(n, p)}{2(1+\sigma_b^2)\log \min(n, p)}$ .

### 3.2 Error Correction

In this section, we will investigate the capacity of BAM with weight noise when the initial input is a noise version  $X_h^{noise}$  of a library pattern  $X_h$ . Let  $X_h^{noise}$  contains  $\rho n$  bit errors, where  $\rho$  is the input noise level. If

$$Y_h = \text{sgn}(\tilde{W} X_h^{noise}) \tag{17}$$

$$X_h = \text{sgn}(\tilde{W}^T Y_h) \tag{18}$$

$$Y_h = \text{sgn}(\tilde{W} X_h), \tag{19}$$

then a noise version  $X_h^{noise}$  can successfully recall the correct the desire library pair  $(X_h, Y_h)$ . Similarly, we hope that a noise version  $Y_h^{noise}$  of  $Y_h$  can successfully recall the correct the desire library pair  $(X_h, Y_h)$ . We will study under what condition of  $m$ , the probability of successful recall tends to one.

Define  $EU_{j,h}^{noise}$  be the event that  $\sum_i^n \tilde{w}_{ji} x_{ih}^{noise}$  is equal to  $y_{jh}$  (the  $j$ -th component of the library pattern  $Y_h$ ). Also,  $\overline{EU}_{j,h}^{noise}$  is the complement event of  $EU_{j,h}^{noise}$ . Also, define  $EV_{i,h}^{noise}$  be the event that  $\sum_j^p \tilde{w}_{ji} y_{jh}^{noise}$  is equal to  $x_{ih}$  (the  $i$ -th component of the library pattern  $X_h$ ). Also,  $\overline{EV}_{i,h}^{noise}$  is the complement event of  $EV_{i,h}^{noise}$ . With the above definition, we can following the proof of Lemma 1 to get the following two lemmas.

Lemma 3: The probability  $\text{Prob}(\overline{EU}_{i,h}^{noise})$  is approximately equal to

$$Q\left(\sqrt{\frac{(1-2\rho)n}{(1+\sigma_b^2)m}}\right)$$

for  $i = 1, \dots, p$  and  $h = 1, \dots, m$ .

Lemma 4: The probability  $\text{Prob}(\overline{EV}_{i,h}^{noise})$  is approximately equal to

$$Q\left(\sqrt{\frac{(1-2\rho)p}{(1+\sigma_b^2)m}}\right)$$

for  $i = 1, \dots, p$  and  $h = 1, \dots, m$ .

Define  $P_{**}$  be the probability that a noise version with  $\rho$  fraction of errors can recall the desired library pair. It is not difficult to show that

$$P_{**} \geq 1 - p\left(\text{Prob}(\overline{EU}_{jh}) + \text{Prob}(\overline{EU}_{jh}^{noise})\right) - n\left(\text{Prob}(\overline{EV}_{ih}) + \text{Prob}(\overline{EV}_{ih}^{noise})\right). \tag{20}$$

From Lemmas 1-4, To sum up, for large  $n$  and  $p$ , if

$$m < \frac{(1-2\rho) \min(n, p)}{2(1+\sigma_b^2) \log \min(n, p)} \tag{21}$$

then  $P_{**} \rightarrow 1$ . That means, when there are  $\rho n$  (or  $\rho p$ ) bit errors in the initial input, the capacity of BAM with multiplicative weight noise is equal to  $\frac{(1-2\rho) \min(n, p)}{2(1+\sigma_b^2) \log \min(n, p)}$ .

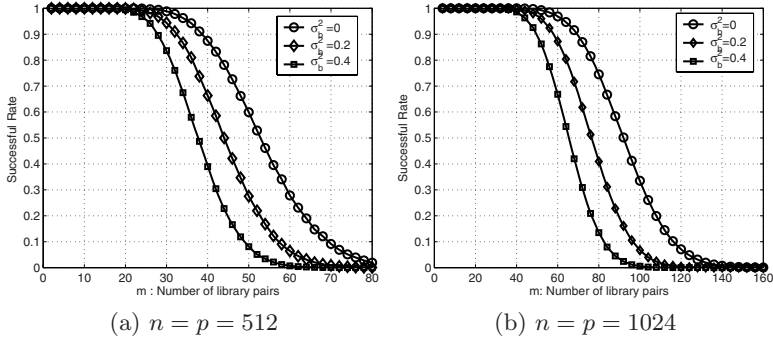
## 4 Simulation

### 4.1 Capacity

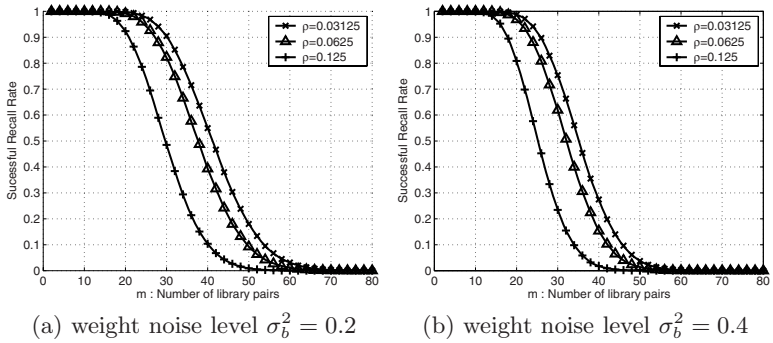
We consider two dimensions, 512 and 1024. For each  $m$ , we randomly generate 1000 sets of library pairs. The Kosko’s rule is then used to encode the matrices. Afterwards, we add the multiplicative weight noise to the matrices. The variances  $\sigma_b^2$  of weight noise are set to 0, 0.2, 0.4.

Figure 1 shows the percentage of a library pair being successfully stored. From the figure, as the variance of weight noise increases, the successful rate decreases. This phenomena agrees with our expectation.

From our analysis, i.e., (16), for  $n = p = 512$ , a BAM can store up to 41, 34, and 29 pairs for  $\sigma_b^2$  equal to 0, 0.2 and 0.4, respectively. From Figure 1(a), all the corresponding successful rates are very large. Also, there are a sharply decreasing changes in successful for  $\{m > 41, \sigma_b^2 = 0\}$ ,  $\{m > 34, \sigma_b^2 = 0.2\}$ , and  $\{m > 29, \sigma_b^2 = 0.4\}$ .



**Fig. 1.** Successful rate of a library pair being a fixed point. (a) The dimension is 512. (b) The dimension is 1024. For each value of  $m$ , we generate 1000 sets of library pairs.



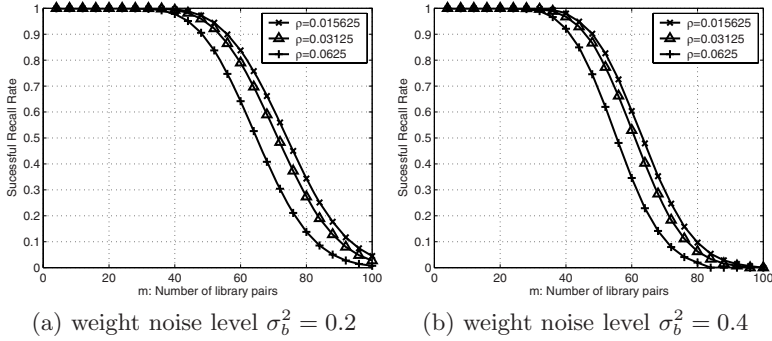
**Fig. 2.** Successful recall rate from a noise input. The dimension is 512. For each value of  $m$ , we generate 1000 sets of library pairs. For each library pattern, we generate 10 noise versions.

Similarly, from (16), for  $n = p = 1024$ , a BAM can store up to 73, 61, and 52 pairs for  $\sigma_b^2$  equal to 0, 0.2 and 0.4, respectively. From Figure 1(b), all the corresponding successful rates are also large. Also, there are a sharply decreasing changes in successful for  $\{m > 73, \sigma_b^2 = 0\}$ ,  $\{m > 61, \sigma_b^2 = 0.2\}$ , and  $\{m > 52, \sigma_b^2 = 0.4\}$ . To sum up, the simulation result is consistent with our analysis (16).

### 4.2 Error Correction

The dimension is 512. We consider two weight noise levels,  $\sigma_b^2 = 0.2, 0.4$ , and three input error levels,  $\rho = 0.003125, 0.0625, 0.125$ . For each  $m$ , we randomly generate 1000 sets of library pairs. The Kosko's rule is then used to encode the matrices. Afterwards, we add the multiplicative weight noise to the matrices. For each library pair, we generate ten noise versions. We then feed the noise versions as initial input and check whether the desire library can be recalled





**Fig. 3.** Successful recall rate from a noise input. The dimension is 1024. For each value of  $m$ , we generate 1000 sets of library pairs. For each library pattern, we generate 10 noise versions.

or not. Figures 2 and 3 shows the successful recall rate. From the figures, as the input error level  $\rho$  increases, the successful rate decreases. This phenomena agrees with our expectation.

From our analysis, i.e., (21), for the dimension  $n = p = 512$  and weight noise level  $\sigma_b^2 = 0.2$ , a BAM can store up to 32, 30, and 26 pairs for the input error level  $\rho$  equal to 0.03125, 0.0625 and 0.125, respectively. From Figure 2(a), all the corresponding successful rates are large. Also, there are a sharply decreasing changes in successful recall rates for  $\{m > 32, \rho = 0.03125\}$ ,  $\{m > 30, \rho = 0.0625\}$ , and  $\{m > 26, \rho = 0.125\}$ . For other weight noise levels and dimension, we obtained similar phenomena.

## 5 Conclusion

We have examined the statistical storage behavior of BAM with multiplicative weight noise. The capacity of a BAM is  $m < \frac{\min(n,p)}{2(1+\sigma_b^2)\log \min(n,p)}$ . When the number of library pairs is less that value, the chance of it being a fixed point is very high. Since we expect BAM has certain error correction ability, we have investigated the capacity of BAM with weight noise when the initial input is a noise version of a library pattern. We show that if  $m < \frac{(1-2\rho)\min(n,p)}{2(1+\sigma_b^2)\log \min(n,p)}$ , a noise version with  $\rho n$  (or  $\rho p$ ) errors has a high chance to recall the desire library pairs. Computer simulations have been carried out The results presented here can be extended to Hopfield network. By adopting the approach set above, we can easily obtain the result in Hopfield network by replacing  $\min(n,p)$  with  $n$  in the above equations.

## Acknowledgement

The work is supported by the Hong Kong Special Administrative Region RGC Earmarked Grants (Project No. CityU 115606) and (Project No. CUHK 416806).

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